



SOME RESULTS OF ALTERNATING SYSTEMS

Gengrong Zhang^{1*}, Jiankang Cheng², Xiaoting Li³

College of Mathematics and Information Science, Guangxi University, Nanning, Guangxi, 530004, China

*Correspondence Author: gxumathzgr@126.com

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Abstract

In this paper , we talk about the relationship of $[f, g]$ and $[g, f]$. We show that : 1. $\omega([f, g]) = \omega([g, f])$, $\omega([f, g]) = \omega(g \circ f) \cup \omega(f \circ g)$; 2. $\omega([f, g]) \subset \Omega([f, g]) \cup \Omega([g, f])$.

Introduction

Let (X, d) be a compact metric space. Denoted by $C^0(X)$ the set of all continuous maps from X to itself. Let $f, g \in C^0(X)$, f^0 is the identity map, $f^{n+1} =$

$f \circ f^n$. Denoted by $g \circ f$ the composition of g and f . Let Z_+ be the set of all positive integers, $N = \{0\} \cup Z_+$. Denoted by $\# \{A\}$ the cardinality of A , $\aleph_0 = \# \{N\}$. Let \bar{A} be the closure of A .

Let $(X, [f, g])$ be the alternating systems (see [1] for the definition). For any $x \in X$, write $O(x, [f, g]) = \{x, f(x), g f(x), f g f(x), \dots\}$, we call $O(x, [f, g])$ the orbit of x under $[f, g]$. For any $n \in N$, write

$$F_n(x) = \begin{cases} (g f)^k & , n = 2k \\ (f(g f)^k)(x) & , n = 2k + 1 \end{cases}$$

So sometimes we can replace $[f, g]$ by $\{F_n\}_{n \in N}$, therefore the alternating system $(X, [f, g]) = (X, \{F_n\}_{n \in N})$ and $O(x, [f, g]) = \{F_n(x)\}_{n \in N}$.

$$\begin{aligned} \text{Obviously, } O(x, [f, g]) &= \{x, f(x), g f(x), f g f(x), \dots\} \\ &= O(x, g \circ f) \cup O(f(x), f \circ g) \\ &= O(x, g \circ f) \cup f(O(x, g \circ f)) \end{aligned}$$

Similarly , write

$$G_n(x) = \begin{cases} (f g)^k & , n = 2k \\ (g(f g)^k)(x) & , n = 2k + 1 \end{cases}$$

The orbit of x under f , the set of periodic points under f , the set of recurrent points under f , the set of non-wondering points under f , the ω -limit set of x under f will be denoted by $O(x, f)$, $P(f)$, $R(f)$, $\Omega(f)$, $\omega(x, f)$, respectively (see [3] for the detailed definitions).



2. Definitions and lemmas

Definition 2.1 Denoted by $\omega(x, [f, g])$ the set of all limit points of $\{F_n(x)\}_{n \in \mathbb{N}}$, write $\omega([f, g]) = \bigcup_{x \in X} \omega(x, [f, g])$.

Definition 2.2 Define the set of pseudo periodic points under alternating system

$$\tilde{P}([f, g]) = \{x \in X | x \in \omega(x, [f, g]), \#\{\omega(x, [f, g])\} < \aleph_0\}.$$

Definition 2.3 Define the set of recurrent points under alternating system $R([f, g]) =$

$$\{x \in X | x \in \omega(x, [f, g])\}.$$

Obviously, $\tilde{P}([f, g]) \subset R([f, g]) \subset \omega([f, g])$.

Definition 2.4 A point $x \in X$ is said to be non-wondering under alternating system if for any neighborhood U of x there exists $n \in \mathbb{Z}_+$ such that $F_n(U) \cap U \neq \emptyset$. Denoted by $\Omega([f, g])$ the set of all non-wondering points under alternating system.

Lemma 2.5^[2] Let (X, d) be a compact metric space, $f, g \in C^0(X)$, then

- (1) For any $n \in \mathbb{Z}_+$, we have $F_n = G_{n-1} \circ f, G_n = F_{n-1} \circ g$.
- (2) If n is even, then $F_{n+t} = F_t \circ F_n$.
- (3) If n is odd, then $F_{n+t} = G_t \circ F_n$.

Lemma 2.6^[2] $\omega(x, [f, g]) = \omega(x, g \circ f) \cup \omega(f(x), f \circ g)$.

Lemma 2.7^[2] $\omega(f(x), f \circ g) = f(\omega(x, g \circ f))$.

Lemma 2.8^[1] $\omega(x, f) \neq \emptyset, \overline{\omega(x, f)} = \omega(x, f)$.

Lemma 2.9^[1] (1) $\tilde{P}([f, g]) \subset P(g \circ f) \cup P(f \circ g)$;

- (2) $P(g \circ f) \subset \tilde{P}([f, g])$;
- (3) $g(P(f \circ g)) \subset \tilde{P}([f, g])$;
- (4) $f(P(g \circ f)) = P(f \circ g)$.

Lemma 2.10^[4] Let f be a continuous map of compact interval to itself. If the set of periodic points of f is a closed set, then every chain recurrent point is periodic.

Lemma 2.11^[2] $\omega([f, g]) \subset \omega(g \circ f) \cup \omega(f \circ g)$.

3. Main results

Proposition 3.1 $\omega(x, [f, g]) \neq \emptyset, \overline{\omega(x, [f, g])} = \omega(x, [f, g])$.

Proof: Combine Lemma 2.6 with Lemma 2.8, it is trivial.

Proposition 3.2 $\omega(x, [f, g]) = \bigcap_{n \geq 0} \overline{\{F_n(x), F_{n+1}(x), F_{n+2}(x), \dots\}}$.

Proof: We firstly prove $\omega(x, [f, g]) \subset \bigcap_{n \geq 0} \overline{\{F_n(x), F_{n+1}(x), F_{n+2}(x), \dots\}}$. For any $y \in$

$\omega(x, [f, g])$, there exists $m_k \rightarrow \infty$ such that $F_{m_k}(x) \rightarrow y$, then for any $n \in \mathbb{N}$, there exists $k \in \mathbb{Z}_+$ such that $m_k \geq n$, hence

$$y \in \bigcap_{n \geq 0} \overline{\{F_n(x), F_{n+1}(x), F_{n+2}(x), \dots\}}.$$



Now we prove $\omega(x, [f, g]) \supset \overline{\bigcap_{n \geq 0} \bigcup_{k=n}^{\infty} \{F_k(x)\}}$. For any $y \in \overline{\bigcap_{n \geq 0} \bigcup_{k=n}^{\infty} \{F_k(x)\}}$, suppose $y \notin \omega(x, [f, g])$, there exists a neighborhood U of y such that for any $n \in \mathbb{N}$, $F_n(x) \not\subset U$, which is contrary to the hypothesis that for any y , we have

$$y \in \bigcap_{n \geq 0} \overline{\{F_n(x), F_{n+1}(x), F_{n+2}(x), \dots\}}.$$

Hence $y \in \omega(x, [f, g])$.

Proposition 3.3 (1) If n is even, then $\omega(x, [f, g]) = \omega(F_n(x), [f, g])$;

(2) If n is odd, then $\omega(x, [f, g]) = \omega(F_n(x), [g, f])$.

Proof : (1) Suppose that n is even. We prove $\omega(x, [f, g]) \subset \omega(F_n(x), [f, g])$ firstly. If $y \in \omega(x, [f, g])$, there exists $n_k \geq n$, $n_k \rightarrow \infty$ such that $F_{n_k}(x) \rightarrow y$, by Lemma 2.5 (2), we have $F_{n_k-n}(F_n(x)) \rightarrow y$, hence $y \in \omega(F_n(x), [f, g])$.

Now we prove $\omega(x, [f, g]) \supset \omega(F_n(x), [f, g])$. If $z \in \omega(F_n(x), [f, g])$, there exists $m_k \rightarrow \infty$ such that $F_{m_k}(F_n(x)) \rightarrow z$, by Lemma 2.5 (2), we have $F_{m_k+n}(x) \rightarrow z$. Hence $z \in \omega(x, [f, g])$.

(2) Similar to the proof of (1), by Lemma 2.5 (3), the proof of (2) is trivial.

Theorem 3.4 $\omega([f, g]) = \omega([g, f])$.

Proof : We prove $\omega([f, g]) \subset \omega([g, f])$ only. For any $x \in \omega([f, g])$, there exists $y \in X$ and $n_k \rightarrow \infty$ such that $F_{n_k}(y) \rightarrow x$, by Lemma 2.5(1), we have $(G_{n_{k-1}} \circ f)(y) = G_{n_{k-1}}(f(y)) \rightarrow x$, thus $x \in \omega([g, f])$.

Proposition 3.5 $\tilde{P}([f, g]) \cup \tilde{P}([g, f]) = P(g \circ f) \cup P(f \circ g)$.

Proof : By Lemma 2.9(1), we have $\tilde{P}([f, g]) \subset P(g \circ f) \cup P(f \circ g)$, exchange f, g , then $\tilde{P}([g, f]) \subset P(g \circ f) \cup P(f \circ g)$, hence

$$\tilde{P}([f, g]) \cup \tilde{P}([g, f]) \subset P(g \circ f) \cup P(f \circ g) \quad (1)$$

By Lemma 2.9 (2), we have $P(g \circ f) \subset \tilde{P}([f, g])$, exchange f, g , then $P(f \circ g) \subset \tilde{P}([g, f])$, hence

$$P(g \circ f) \cup P(f \circ g) \subset \tilde{P}([f, g]) \cup \tilde{P}([g, f]) \quad (2)$$

Combine (1) with (2), we have

$$P(g \circ f) \cup P(f \circ g) \subset \tilde{P}([f, g]) \cup \tilde{P}([g, f]) \subset P(g \circ f) \cup P(f \circ g).$$

Hence $\tilde{P}([f, g]) \cup \tilde{P}([g, f]) = P(g \circ f) \cup P(f \circ g)$.

Theorem 3.6 (1) $R([f, g]) \subset \Omega([f, g])$;

(2) $\omega(g \circ f) \subset \Omega(g \circ f) \subset \Omega([f, g])$;

(3) $f(\Omega(g \circ f)) \subset \Omega(f \circ g)$;

(4) $\Omega([f, g])$ is closed;



$$(5) \Omega([f, g]) \subset \Omega(g \circ f) \cup \Omega(f \circ g)$$

Proof : (1) and (2) are evident by definitions.

(3) For any $\varepsilon > 0$, by the continuity of f , there exists $\delta > 0$ such that $f(B(x, \delta)) \subset B(f(x), \varepsilon)$. For any $x \in \Omega(g \circ f)$, By the definition, for $\delta > 0$, there exists $y \in B(x, \delta)$ and $n \in \mathbb{Z}_+$ such that $(g \circ f)^n(y) \in B(x, \delta)$. So $f(y) \in B(f(x), \varepsilon)$, $f(g \circ f)^n(y) = (f \circ g)^n(f(y)) \in B(f(x), \varepsilon)$. Hence $x \in \Omega(f \circ g)$.

(4) For any $x \in X - \Omega([f, g])$, by the definition, there exists some neighborhood U of x such that for any $n \in \mathbb{Z}_+$, $F_n(U) \cap U = \emptyset$. Thus, for any $y \in U$, $y \in X - \Omega([f, g])$. So $X - \Omega([f, g])$ is open. Hence $\Omega([f, g])$ is closed.

(5) Suppose $x \in \Omega([f, g])$, U is an arbitrary neighborhood of x . If the set $\{n \in \mathbb{Z}_+ : F_n(U) \cap U \neq \emptyset, n = 2k \text{ for some } k \in \mathbb{Z}\}$ is infinite, there exist even numbers $n_1 < n_2$ and $y \in U$ such that $(g \circ f)^{n_1}(y) \in U, (g \circ f)^{n_2}(y) \in U$, then $(g \circ f)^{n_2}(y) = (g \circ f)^{n_2-n_1}((g \circ f)^{n_1}(y)) \in U$. Hence $x \in \Omega(g \circ f)$.

If the set $\{n \in \mathbb{Z}_+ : F_n(U) \cap U \neq \emptyset, n = 2k + 1 \text{ for some } k \in \mathbb{Z}\}$ is infinite, there exist odd numbers $n_1 < n_2$ and $y \in U$ such that $f(g \circ f)^{n_1}(y) = (f \circ g)^{n_1}(f(y)) \in U$ and $f(g \circ f)^{n_2}(y) \in U$, then $f(g \circ f)^{n_2}(y) = (f \circ g)^{n_2-n_1}((f \circ g)^{n_1}(f(y))) \in U$. Hence $x \in \Omega(f \circ g)$.

In conclusion, $\Omega([f, g]) \subset \Omega(g \circ f) \cup \Omega(f \circ g)$.

Corollary 3.7 $\Omega([f, g]) \cup \Omega([g, f]) = \Omega(g \circ f) \cup \Omega(f \circ g)$.

Proof : Similar to the proof of Proposition 3.5, by Theorem 3.6 (2) (5), it is evident.

Lemma 3.8 $\omega([f, g]) = \omega(g \circ f) \cup \omega(f \circ g)$.

Proof : By Lemma 2.6, we have $\omega(g \circ f) \subset \omega([f, g])$. By Lemma 2.11, we have $\omega([f, g]) \subset \omega(g \circ f) \cup \omega(f \circ g)$. So it remains to show that $\omega(f \circ g) \subset \omega([f, g])$.

For any $x \in \omega(f \circ g)$, there exists $y \in X$ and $n_k \rightarrow \infty$ such that $(f \circ g)^{n_k}(y) \rightarrow x$, so we have $f(g \circ f)^{n_k-1}(g(y)) \rightarrow x$. Hence $x \in \omega([f, g])$.

Similarly, $\omega(g \circ f) \subset \omega([f, g])$. Hence $\omega([f, g]) \supset \omega(g \circ f) \cup \omega(f \circ g)$.

Theorem 3.9 $\omega([f, g]) \subset \Omega([f, g]) \cup \Omega([g, f])$.

Proof : By Lemma 3.8, we have $\omega([f, g]) = \omega(g \circ f) \cup \omega(f \circ g)$. Thus $\omega([f, g]) \subset \Omega(g \circ f) \cup \Omega(f \circ g) \subset \Omega([f, g]) \cup \Omega([g, f])$.



Proposition 3.10 If $X = [0,1]$, $\tilde{P}([f, g]) \cap \tilde{P}([g, f]) = \phi$, $\tilde{P}([f, g])$ and $\tilde{P}([g, f])$ are closed, then $\tilde{P}([f, g]) \cup \tilde{P}([g, f]) = \Omega([f, g]) \cup \Omega([g, f])$.

Proof : If $\tilde{P}([f, g]) \cap \tilde{P}([g, f]) = \phi$, then by Lemma 2.9 (2), we have $P(g \circ f) \cap P(f \circ g) = \phi$. Since $\tilde{P}([f, g])$ and $\tilde{P}([g, f])$ are closed, by Theorem 3.5, we have $\tilde{P}([f, g]) = P(g \circ f) = \overline{P(g \circ f)}$ and $\tilde{P}([g, f]) = P(f \circ g) = \overline{P(f \circ g)}$. By Lemma 2.10, $\tilde{P}([f, g]) = P(g \circ f) = \Omega(g \circ f)$ and $\tilde{P}([g, f]) = P(f \circ g) = \Omega(f \circ g)$. It follows by Corollary 3.7 that $\tilde{P}([f, g]) \cup \tilde{P}([g, f]) = \Omega([f, g]) \cup \Omega([g, f])$.

Corollary 3.11 If $X = [0,1]$, $\tilde{P}([f, g]) \cap \tilde{P}([g, f]) = \phi$, $\tilde{P}([f, g])$ and $\tilde{P}([g, f])$ are closed, then $\tilde{P}([f, g]) \cup \tilde{P}([g, f]) = R([f, g]) \cup R([g, f]) = \omega([f, g]) = \Omega([f, g]) \cup \Omega([g, f])$.

Proof : Combine Theorem 3.4, Theorem 3.9 and Proposition 3.10, it is evident.

Example 3.12 Let $X = [-1,1]$, $a_n = 1 - \frac{1}{n+1}$, $n = 1,2,3,\dots$. Define

- (1) $f(x) = x$, for any $x \in [0,1]$;
- (2) $f(-1) = 1, f(-a_n) = a_{n+1}, n = 1,2,3,\dots$ and f is linear on $[-a_1,0]$ and each $[-a_{n+1},-a_n], n = 1,2,3,\dots$;
- (3) $g(x) = -x$, for any $x \in [0,1]$;
- (4) $g(x) = x$, for any $x \in [-1,1]$.

It is easy to show that $P(g \circ f) = \omega(g \circ f) = \omega(0, g \circ f) = \Omega(g \circ f) = \{-1\}$;
 $P(f \circ g) = \omega(f \circ g) = \omega(0, f \circ g) = \Omega(f \circ g) = \{1\}$; For any $x \in X$, $\omega(x, [f, g]) = \omega(x, [g, f]) = \Omega([f, g]) = \Omega([g, f]) = \{-1,1\}$.

Proposition 3.13 Let $\Gamma = \omega$ or Ω , there exists f and g such that $\Gamma(g \circ f)$ is a proper subset of $\Gamma([f, g])$.

Proof : By Example 3.12, $\omega(g \circ f) = \{-1\}$ and $\omega([f, g]) = \bigcup_{x \in X} \omega(x, [f, g]) = \{-1,1\}$. Hence $\omega(g \circ f)$ is a proper subset of $\omega([f, g])$. Similarly, $\Omega(g \circ f)$ is a proper subset of $\Omega([f, g])$.

Proposition 3.14 There exists f and g such that $P(g \circ f)$ is a proper subset of $\tilde{P}([f, g])$.

Proof : By Example 3.12, $P(g \circ f) = \{-1\}$ and $\tilde{P}([f, g]) = \{-1,1\}$. Hence $P(g \circ f)$ is a proper subset of $\tilde{P}([f, g])$.

Proposition 3.15 There exists f and g such that $\Omega([f, g]) = \Omega([g, f])$.

Proof : By Example 3.12, $\Omega([f, g]) = \Omega([g, f]) = \{-1,1\}$.

Proposition 3.16 There exists f and g such that $\Omega(f \circ g)$ is a proper subset of $\Omega([f, g])$.

Proof : By Example 3.12, $\Omega(f \circ g) = \{1\}$ and $\Omega([f, g]) = \{-1,1\}$. Hence $\Omega(f \circ g)$ is a proper subset of $\Omega([f, g])$.



Example 3.17 Let $X = \{-1, 0, 1\} \subset [-1, 1]$, define $f(-1) = 0, f(0) = 1, f(1) = g(1) = 1, g(0) = -1, g(-1) = -1$.

It is easy to show that (1) $\omega(-1, [f, g]) = \{-1, 0\}, \omega(0, [f, g]) = \omega(1, [f, g]) = \{1\}$.

(2) $\Omega(f \circ g) = \{0, 1\}, \Omega([f, g]) = \{-1, 1\}$.

Proposition 3.18 There exists f and g such that $P(f \circ g) \not\subset \tilde{P}([f, g])$.

Proof : By Example 3.12, $P(f \circ g) = \{0, 1\}$ and $\tilde{P}([f, g]) = \{-1, 1\}$. Hence

$P(f \circ g) \not\subset \tilde{P}([f, g])$.

Proposition 3.19 There exists f and g such that $\Omega(f \circ g) \not\subset \Omega([f, g])$.

Proof : By Example 3.12, $\Omega(f \circ g) = \{0, 1\}$ and $\Omega([f, g]) = \{-1, 1\}$. Hence $\Omega(f \circ g) \not\subset \Omega([f, g])$.

Proposition 3.20 There exists f and g such that $\omega([f, g]) \not\subset \Omega([f, g])$.

Proof : By Example 3.12, $\omega([f, g]) = \bigcup_{x \in X} \omega(x, [f, g]) = \{-1, 0, 1\}$ and $\Omega([f, g]) = \{-1, 1\}$. Hence $\omega([f, g]) \not\subset \Omega([f, g])$.

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